



City of Jacksonville 457(b) Plan

Application to participate in the 3 Year Catch-Up Provision

The Catch-Up Provision allows you to defer compensation in excess of the regularly established maximum limit of 100% of your gross taxable earnings or the standard yearly maximum contribution amount as designated by the IRS, whichever is less.

Have you previously participated in any Catch-Up Provision with COJ or any other Employer Plan? Yes No

Important note:

If you have deferred the maximum you were allowed since January 1, 1978, then you are NOT ELIGIBLE to utilize the Catch-Up Provision. The Catch-Up Provision can ONLY be utilized ONCE in your career lifetime.
 If you have not previously participated in any Catch-Up Provision, then you may participate if you are within the last three calendar years prior to the calendar year in which you attain Normal Retirement Age. NRA is any age you select which is not earlier than the age shown below, and not later than the year you attain age 70 1/2. The Catch-Up period must be on the **three (3) consecutive calendar years** directly proceeding the calendar year of your Normal Retirement Age.

Check the box: 457 Deferred Comp PreTax 457 Roth Post Tax

Year 1 _____ Year 2 _____ Year 3 _____

General Employee DB Pension Plan
 a. Age 65 with 5 years of service, or
 b. Age 55 with 20 years of service, or
 c. No age limit with 30 years of service

General Employee DC Plan
 a. Age 60 with 5 years of service, or
 b. Age 55 with 10 years of service, or
 c. Age + years of service = at least 80, or
 d. No age limit with 25 years of service

Police and Fire Pension Plan
 No age limit with 20 years of service

Police and Fire Public Safety DC Plan
 a. Age 50, or
 b. No age limit with 20 years of service

The maximum amount of your salary that you can defer (including the regularly established maximum per year) when participating in the Catch-Up Provision is limited to the lesser of:

1. The difference between your actual contributions since January 1, 1978 (or since you became eligible to participate in the City of Jacksonville's Deferred Compensation Program), and the maximum you could have deferred during that time period; or
2. Twice the standard yearly maximum contribution amount as designated by the IRS in each of the three (3) calendar years prior to the calendar year in which you attain Normal Retirement Age/Separate from Service.

Hired Date: _____ Age: _____ Years of Service: _____ Total Under Utilized Amount: \$ _____

Deferral Amount per paycheck \$ _____ Effective Date: _____ For Calendar Year: _____

I certify that the above information is accurate, and that I have read and understood the rules of the Catch-Up Provision.

Employee Name (Printed)	EIN	Signature	Date
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Investment Provider (Printed)	Signature	Date
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COJ Plan Administrator (Printed)	Signature	Date
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Employee Name (Printed) _____

EIN _____

Underutilized Amounts Worksheet for Special §457 Catch-Up

Begin with the first year you became eligible to participate in this Plan. Ignore all prior years.

Instructions

1. Multiply your includible compensation by the percentage in column A, and enter this amount in column A (includible compensation).
 - a. For years prior to 2002, includible compensation is equivalent to the amount shown on the W-2 in Box 1 (or Box 10 for years prior to 1993).
 - b. For 2002 and thereafter, includible compensation is equivalent to the amounts shown on the W-2 in Box 1, PLUS any amounts received from your employer as a qualified transportation fringe benefit, any amounts deferred under a §125 cafeteria plan and any contributions to a §401(k), §403(b) and §457(b) plan.
2. In column C, enter the lesser of the amount in column A (includible compensation) or B (basic annual §457(b) contribution limit).
3. From column C, subtract columns D (actual contributions) and E (other contributions) and enter that amount in column F (underutilized amount).
4. Add totals from column F in the TOTAL UNDERUTILIZED AMOUNTS line at the end of the worksheet; this is your total underutilized amount.
5. You may only use an underutilized amount equal to the current year's basic annual §457(b) contribution limit. Any remaining underutilized amount may be contributed in any remaining special catch-up years, up to an amount equal to that year's basic annual §457(b) contribution limit.
6. NRA may not be changed in your second and third year of catch-up and you must subtract the Special §457 Catch-Up amounts you contributed in a prior year from the total underutilized amounts to determine your remaining underutilized amounts.

	A	B	C	D	E	F
	Includible Compensation (See Instruction #1)	Basic §457(b) Contribution Limit	Lesser of A or B	Actual Contributions to this 457(b) Plan	Contributions to another 457(b), 403(b) or 401(k) for Years Prior to 2002 and thereafter, only to another §457(b)	Underutilized Amount
1979	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1980	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1981	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1982	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1983	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1984	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1985	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1986	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1987	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1988	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1989	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1990	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1991	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$
1992	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b) \$	\$



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1993	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b)	\$	\$
1994	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b)	\$	\$
1995	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b)	\$	\$
1996	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b)	\$	\$
1997	33.3% X \$ ____ = \$ ____	\$7,500	\$	\$	Other 457(b)	\$	\$
1998	33.3% X \$ ____ = \$ ____	\$8,000	\$	\$	Other 457(b)	\$	\$
1999	33.3% X \$ ____ = \$ ____	\$8,000	\$	\$	Other 457(b)	\$	\$
2000	33.3% X \$ ____ = \$ ____	\$8,000	\$	\$	Other 457(b)	\$	\$
2001	33.3% X \$ ____ = \$ ____	\$8,500	\$	\$	Other 457(b)	\$	\$
2002	100% X \$ ____ = \$ ____	\$11,000	\$	\$	Other 457(b)	\$	\$
2003	100% X \$ ____ = \$ ____	\$12,000	\$	\$	Other 457(b)	\$	\$
2004	100% X \$ ____ = \$ ____	\$13,000	\$	\$	Other 457(b)	\$	\$
2005	100% X \$ ____ = \$ ____	\$14,000	\$	\$	Other 457(b)	\$	\$
2006	100% X \$ ____ = \$ ____	\$15,000	\$	\$	Other 457(b)	\$	\$
2007	100% X \$ ____ = \$ ____	\$15,500	\$	\$	Other 457(b)	\$	\$
2008	100% X \$ ____ = \$ ____	\$15,500	\$	\$	Other 457(b)	\$	\$
2009	100% X \$ ____ = \$ ____	\$16,500	\$	\$	Other 457(b)	\$	\$
2010	100% X \$ ____ = \$ ____	\$16,500	\$	\$	Other 457(b)	\$	\$
2011	100% X \$ ____ = \$ ____	\$16,500	\$	\$	Other 457(b)	\$	\$
2012	100% X \$ ____ = \$ ____	\$17,000	\$	\$	Other 457(b)	\$	\$
2013	100% X \$ ____ = \$ ____	\$17,500	\$	\$	Other 457(b)	\$	\$
2014	100% X \$ ____ = \$ ____	\$17,500	\$	\$	Other 457(b)	\$	\$
2015	100% X \$ ____ = \$ ____	\$18,000	\$	\$	Other 457(b)	\$	\$
2016	100% X \$ ____ = \$ ____	\$18,000	\$	\$	Other 457(b)	\$	\$
2017	100% X \$ ____ = \$ ____	\$18,000	\$	\$	Other 457(b)	\$	\$
2018	100% X \$ ____ = \$ ____	\$18,500	\$	\$	Other 457(b)	\$	\$
2019	100% X \$ ____ = \$ ____	\$19,000	\$	\$	Other 457(b)	\$	\$
2020	100% X \$ ____ = \$ ____	\$19,500	\$	\$	Other 457(b)	\$	\$
2021	100% X \$ ____ = \$ ____	\$19,500	\$	\$	Other 457(b)	\$	\$
2022	100% X \$ ____ = \$ ____	\$20,500	\$	\$	Other 457(b)	\$	\$
2023	100% X \$ ____ = \$ ____	\$22,500	\$	\$	Other 457(b)	\$	\$

Total Under Utilized Amount (column F) = \$ _____

Prior Special 457 Catch-up Contributions, if any (column E) = \$ _____ (subtract)

Total Under Utilized Amount Remaining = \$ _____ equals

Investment Provider (Printed)

Signature

Date